



THOMSON REUTERS PORTIA DATA MANAGEMENT

COMPREHENSIVE SUPPORT TO MEET REGULATORY REQUIREMENTS AND CLIENT MANDATES



THOMSON REUTERS™

Requirements for system controls over your proprietary data have become increasingly complex. Thomson Reuters PORTIA offers flexible tools to meet evolving client mandates, your firm's investment policies and complex compliance requirements such as Sarbanes-Oxley.

KEY BENEFITS

- Assign clearances to individual operators and permit them to perform specific functions within Thomson Reuters PORTIA
- Limit access to database tables, such as the facility to insert, revise, delete, and copy records for selected tables
- Gain more control by restricting operator access and viewing rights to specific portfolios; allocate access according to roles and responsibilities
- Give individuals access to a specific security or a range of securities
- Control access to an operator's portfolio by defining the default access keys
- Request individuals to supply specific information configured in particular fields, such as those in the security master file
- Maintain and manage user information, such as operator name, password, and the associated default network printer

COMPLIANCE

Use the Thomson Reuters PORTIA compliance functionality to define a wide variety of portfolio restrictions that support customer specific, regulatory required or firm imposed investment mandates. Restrictions can be established at the transaction or the holdings level and display warning messages to alert you to trading or holdings violations. From the basic flagging of 'sin stocks' to maintaining a portfolio's 10% industry limit, Thomson Reuters PORTIA keeps you informed throughout the trade cycle. Thomson Reuters PORTIA provides a restrictions library with many of the most important restrictions required and allows you to further define a full range of custom restriction needs.

AUDITING

Track end-user changes to key data tables such as: securities, portfolios, reports, calculations and batch parameters. These capabilities assist with your

auditing and provide essential records for support of your organization's requirements to comply with the Sarbanes-Oxley Act. The Thomson Reuters PORTIA auditing functionality tracks all changes to data across the system and ensures that you can identify when and who made changes to your data.

SYSTEM SECURITY

Create detailed profiles that reflect individual capabilities and authorizations. Choose from numerous security settings to maintain control of your dynamic environment while ensuring the integrity of your Thomson Reuters PORTIA database and proprietary business logic. Build an orderly and secure operational environment by combining table/function security with the efficiency of field security. Our powerful system offers varying levels of security access that you can combine and implement as your requirements change.

PASSWORD SECURITY AND SINGLE SIGN-ON

Thomson Reuters PORTIA allows users to login seamlessly using a single sign-on model. This supports Windows Integrated Authentication or custom authentication options. Rely on the security model underlying all systems to keep you Sarbanes-Oxley and ISO 17799 guideline compliant whilst implementing industry-standard SHA 256 (secure hash algorithm) and AES (advanced encryption standard) encryption models.

To protect your system further from unauthorized use, Thomson Reuters PORTIA includes several password-related security measures at your site.

- Password protection between Thomson Reuters PORTIA and the database is synchronized enabling a single login ID and password
- A limit is set on the number of attempts to sign on, virtually eliminating the possibility of guessing a password
- All operators must change passwords regularly to decrease the likelihood of unauthorized access

Reliable. Secure. Proven. PORTIA

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